



LUMILEDS™
LIGHT FROM SILICON VALLEY

High Power White LED Technology for Solid State Lighting

Paul S. Martin

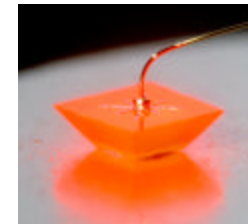
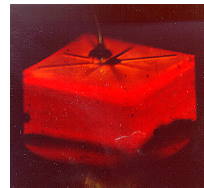
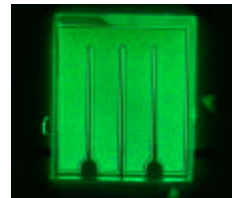
J. Bhat, C.-H. Chen, D. Collins, W. Goetz, R. Khare, A. Kim, M. Krames, C. Lowery, M. Ludowise, G. Mueller, R. Mueller-Mach, S. Rudaz, D. Steigerwald, S. Stockman, S. Subramanya, S-C Tan, J. Thompson, T. Trottier

High Power White LEDs

Who is Lumileds

- **Fully integrated light source supplier that co-develops optimized system solutions!**

- **LED dice**



- **Luxeonä Power Light Sources**

- Arrays of High Flux LEDs on a metal core PCB



- **Automotive, Traffic Signals, Signage & Contour Solutions**



High Power White LEDs

Outline

- **Competition in the market for Illumination, Incandescent & Fluorescent Bulbs.**
- **LED Metrics**
- **Options for making white light from LEDs**
- **Lumileds power white LED performance**
- **Some interesting demos**

Illumination Markets

How Much Energy is Used for Lighting

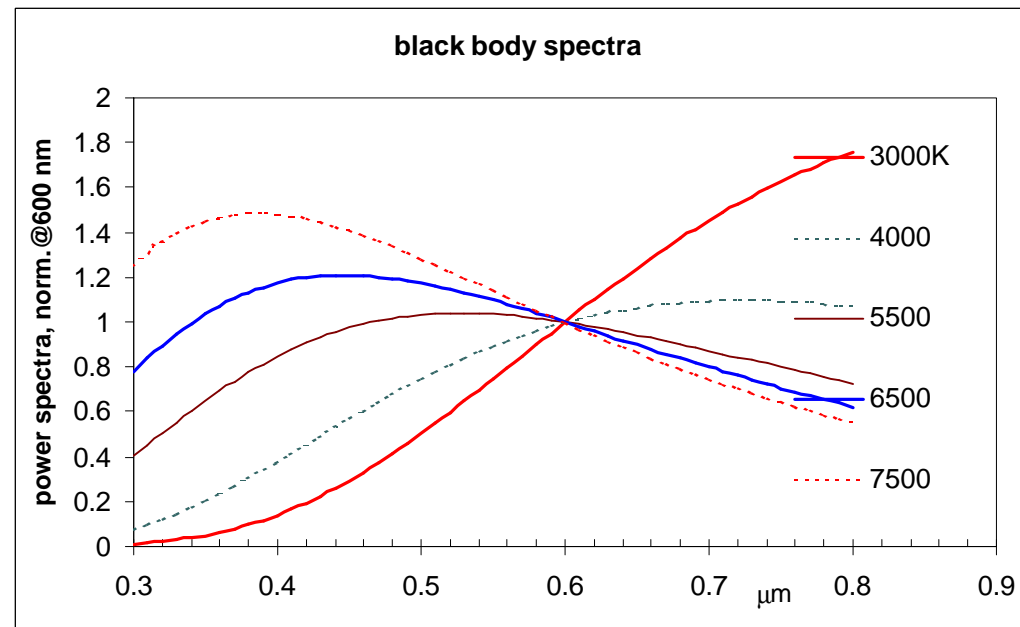
- In 1999 the US used 3 Trillion kWhr of Electricity!
- 20% or 600 Billion kWhr of Electricity generated was used in Lighting!
- Incandescent/Hal. lamps burn 40% of electricity to produce 15% of light!
- Fluorescent/HID lamps use 60% to produce 85% of light!
- Illumination market is \$60Billion/yr and growing slowly, ~2%/yr

Illumination Markets

Incandescent Bulbs

- **Incandescent = hot light, emitted from a (tungsten) filament at around 2800°K**
 - Disadvantages:
 - mostly infra-red
 - glass vacuum envelope & filament both break easily
 - <15 lm/W luminous (<5% power) efficiency
 - fire hazard, burnt fingers, maintenance
 - Advantages:
 - Radiant cooling
 - Cheap 0.0005\$/lumen
 - klm per package!

*Basic disadvantage:
no chance to come close
to DAYLIGHT = 6500°K*



Courtesy Gerd Mueller LL

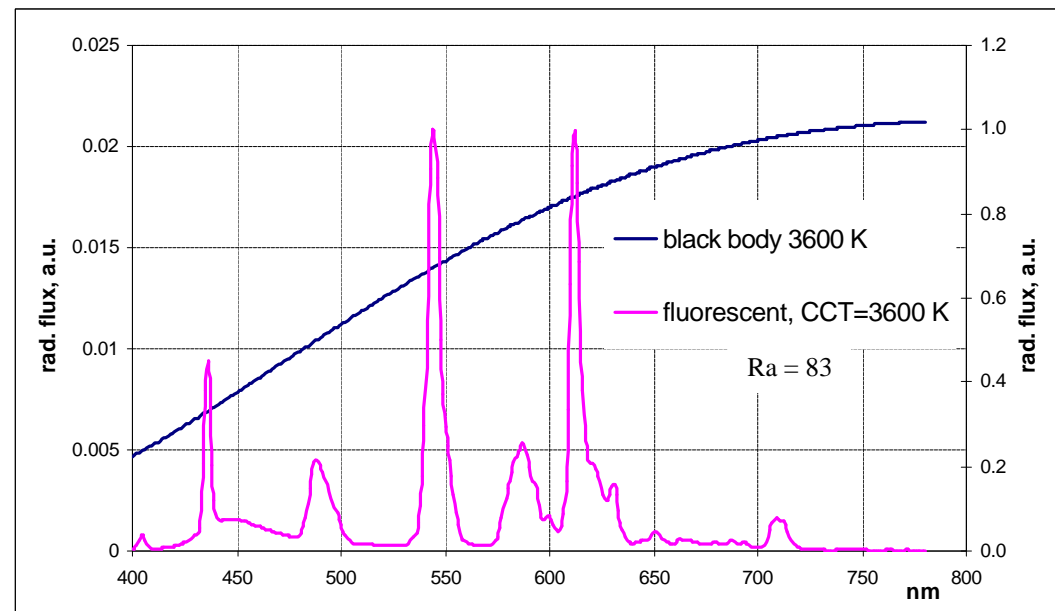
Illumination Markets

Fluorescent Bulbs

- **Fluorescent = cold light, emitted by phosphors excited by gas discharge.**
 - Advantages:
 - High efficiency 80+lm/W & High Flux klm/lamp
 - Moderate cost for large lamps 0.002\$/lm
 - Disadvantages:
 - Lifetime short <10,000 hrs resulting in high maintenance.
 - Glass vacuum envelope leaks/breaks, ballast noisy.
 - Mercury!!

*Basic Advantage:
any color temperature
possible by tri-color mixing*

Courtesy Gerd Mueller LL



Illumination Markets

Assumptions for using LEDs in lighting

- **LED lamps will be far more expensive than incandescent, halogen or fluorescent lamps for at least a decade.**
- **The expensive LED lamp must pay for itself through lifetime energy and maintenance savings.**
- **The lighting industry has spoiled the users with superb color rendering and color control.**
- **Near term LEDs must dominate monochrome and penetrate white niches!**

High Power White LEDs

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LED Metrics

Tower of Babble?

optical power out / electric power in = **W**all-**P**lug-**E**fficiency, **WPE**, (% , W/W)

photons out / electrons in = **E**xternal **Q**uantum **E**fficiency, **EQE**, %

photons internally generated / electrons in = **I**nternal **Q**uantum **E**fficiency , **IQE**, %

photons out / photons generated = extraction efficiency, %, η_{ext}

photon energy / applied voltage (times electron charge) = electrical efficiency, %, η_{el}

lumens out / electric power in = luminous efficiency [**lm/W**]

luminous efficacy, **LE** [lm/W] = luminous equivalent of the emission spectrum

$$\text{luminous efficiency} = \text{IQE} * \eta_{\text{ext}} * \eta_{\text{el}} * \text{LE}$$

$$\text{IQE} * \eta_{\text{ext}} = \text{EQE}$$

$$\text{EQE} * \eta_{\text{el}} = \text{WPE}$$

$$\text{WPE} * \text{LE} = \underline{\underline{\text{lm/W}}}$$

LED Metrics

LED Skulduggery

"A Devious device or trick!"

Paul's Top 5 Sins

- 1) *Quoting EQE without Vf or WPE*
- 2) *Quoting low duty factor results*
- 3) *Quoting WPE without current or current density & total power out.*
- 4) *Quoting WPE without temperature*
- 5) *Quoting Cd without Flux*

What am I hiding?

- 1) *Vf, power efficiency*
- 2) *Thermal resistance, heating*
- 3) *GaN in particular has strong dependence of WPE on current not much light comes out of a device at very low currents!*
- 4) *WPE is strongly dependent on junction temperature for AlInGaP, less so for AlInGaN.*
- 5) *Radiation pattern*



LED Metrics

Tower of Babble take 2 for white LEDs

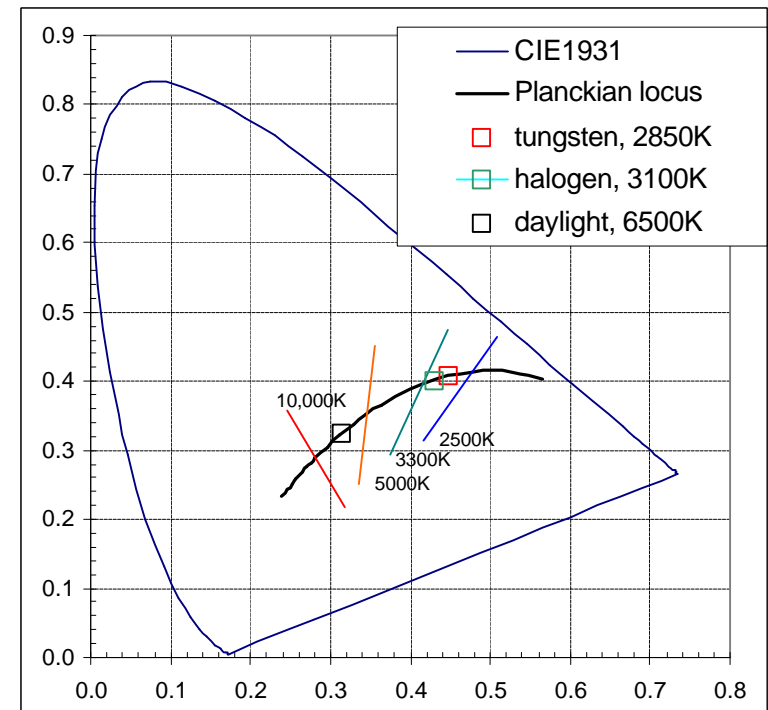
How well can a source reproduce “natural” colors = **Color-Rendering-Index, Ra**, %
(100 = sunlight, 85 = office, 60 = cabinet/lantern light)

Color Correlated Temperature = CCT, Kelvin
(x,y coordinates normal to black body curve)

Phosphor Converted LED = PCLED

LED photons pump phosphor which emits secondary,
and longer, wavelength of light.

Stoke’s shift = Difference in wavelength between
absorbed and emitted photons. Emitted photons
always have longer wavelength, i.e. lower
energy!



High Power White LEDs

Outline

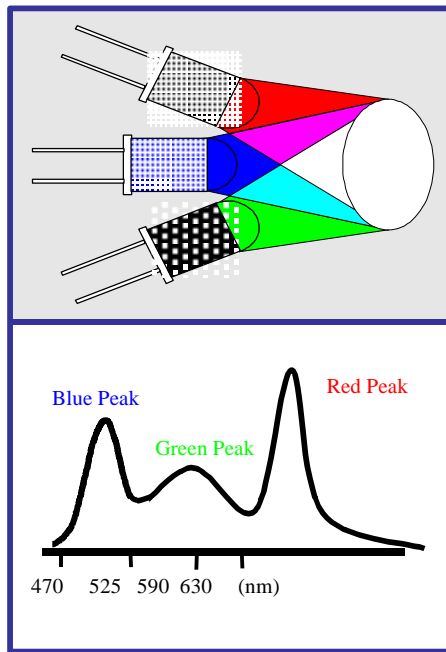
- **Competition in the market for Illumination, Incandescent & Fluorescent Bulbs.**
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- **Options for making white light from LEDs**
- **Lumileds power white LED performance**
- **Some interesting demos**

White Light from LEDs

Three methods of Generating LED White Light

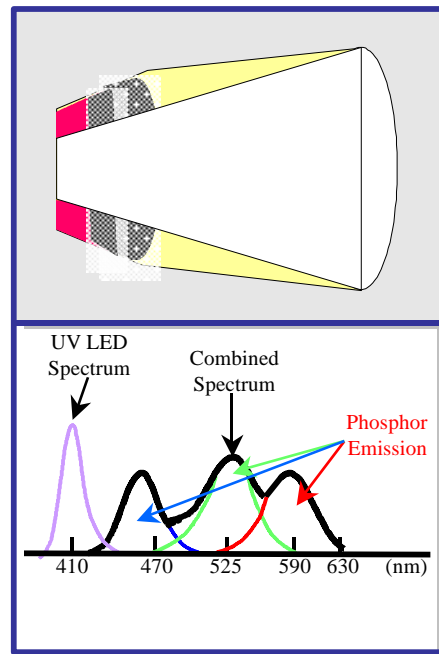
- Each method has potential strengths!

Red + Green + Blue LEDs



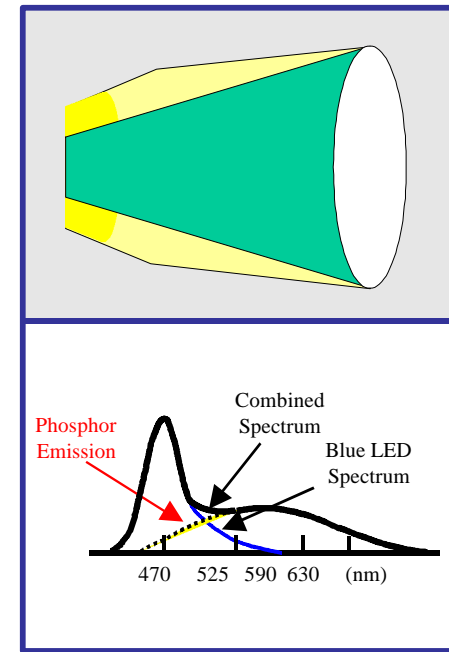
RGB LEDs

UV LED + RGB Phosphor



UV LED + RGB phosphor

Binary Complimentary

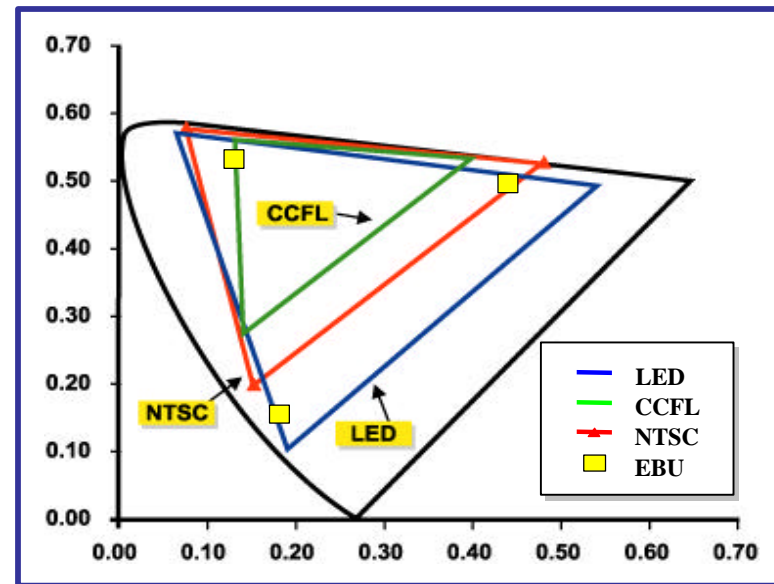


**Blue LED
+
Yellow phosphor**

White Light from LEDs

Combining Red, Green and Blue LEDs

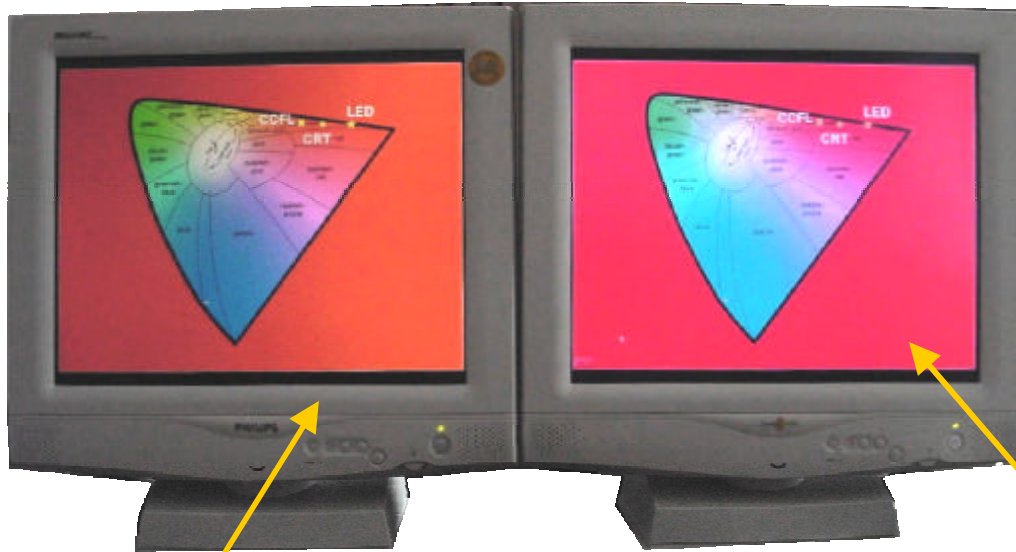
- **Advantages:**
 - Long term likely the most efficient!
 - Dynamic tuning of color temperature possible!
 - Excellent color rendering!
 - Very large color Gamut available!
- **Challenges**
 - Color Feedback required today to account for LED degradation with T & t!
 - Color mixing tricky!
 - Yellow-Green Gap!



Combining Red, Green and Blue LEDs

Lumileds LCD Backlight!

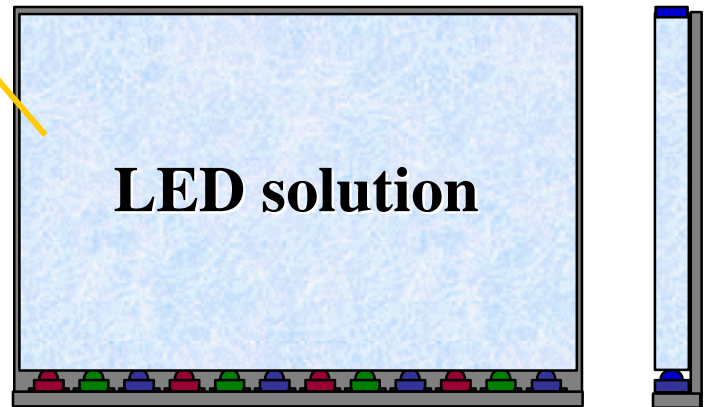
- 20 Green, 10 Red, 10 Blue Luxeon's, ~ 1000 lumens!
- Today LED Solution has 140% Color Gamut and 120% CCFL power!



Both displays showing
the same slide
(red only background)
via a splitter

CCFL solution

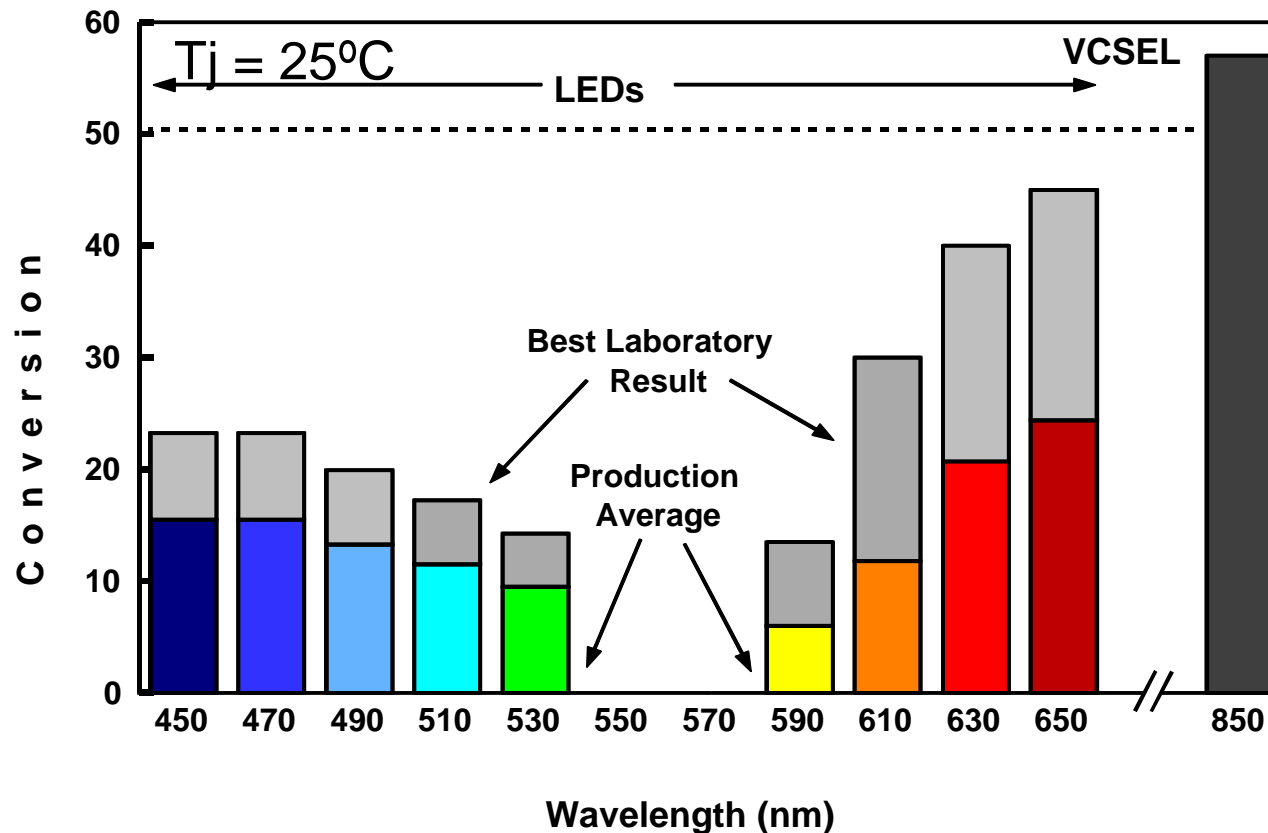
LED solution



White from RGB LEDs

The Yellow-Green Gap!

- Eye Sensitivity Peaks right in the middle of the Yellow-Green GaP!



White Light from LEDs

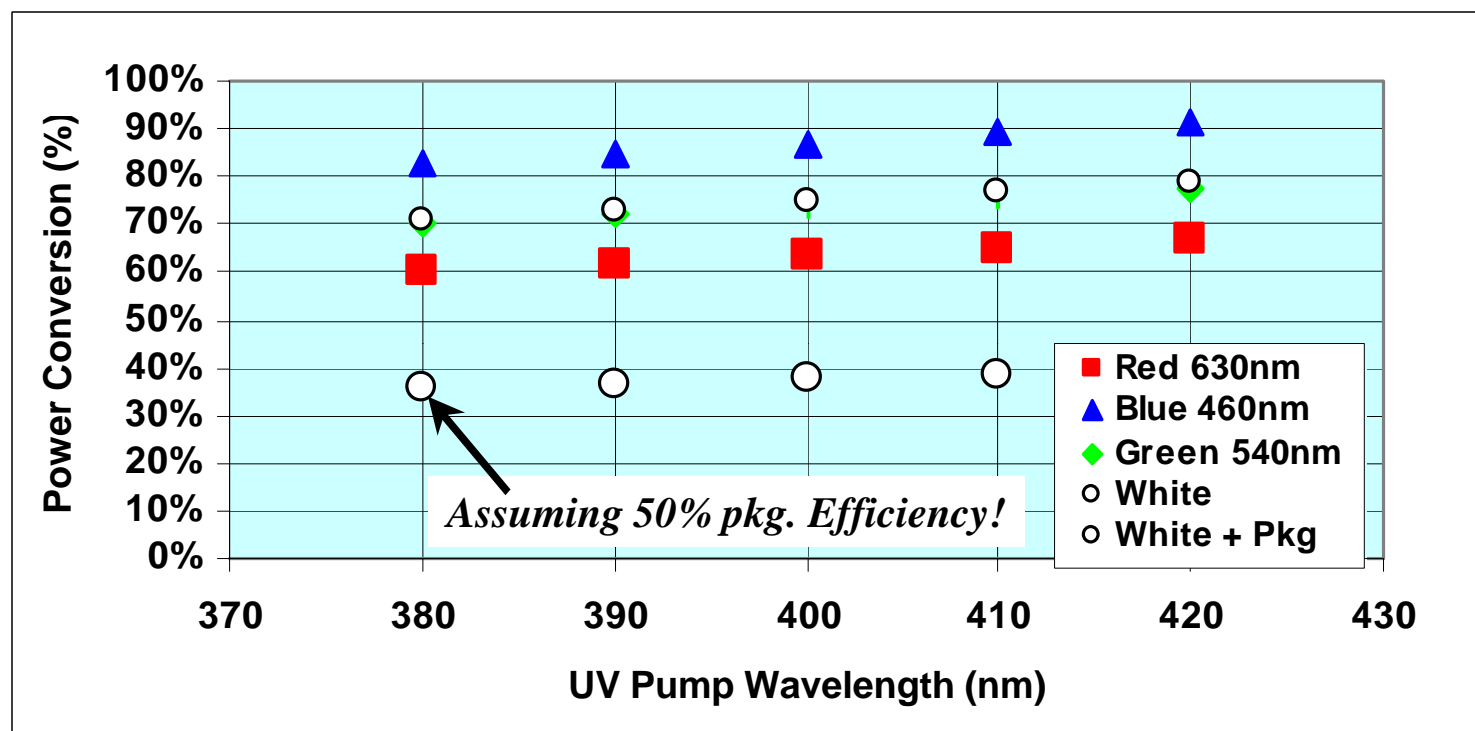
UV LED pumped RGB Phosphors

- **Advantages:**
 - White point determined by phosphors ONLY! (i.e. tolerant to LED variation)
 - Excellent color rendering possible!
 - Theoretically “Simple to manufacture!” (Looks like TV or Fluorescent lamp except for pump is now UV LED rather than electrons.)
 - Temperature stability of phosphors. (Can be great!)
- **Disadvantages**
 - Potential for damaging UV light leakage.
 - Fundamental limits on efficiency due to phosphor conversion efficiency, Stokes shift, self absorption,...
- **Challenges**
 - None available yet!?
 - Efficient Blue LED pumped phosphor not available yet?!
 - Color uniformity with angle!
 - Packaging must be robust to UV exposure.
 - Temperature stability of phosphors. (Great phosphors not available in all colors!)

UV LED pumped RGB Phosphors

UV LED must be >2x Green LED WPE for same lm/W!

- Downshift in color causes fundamental energy loss.
- Scattering in phosphor + absorption in package (inc. phosphor) reduces extraction efficiency! Today's best package efficiency is ~50% for Blue + Yellow phosphor, UV + RGB phosphor likely to be even worse!



White Light from LEDs

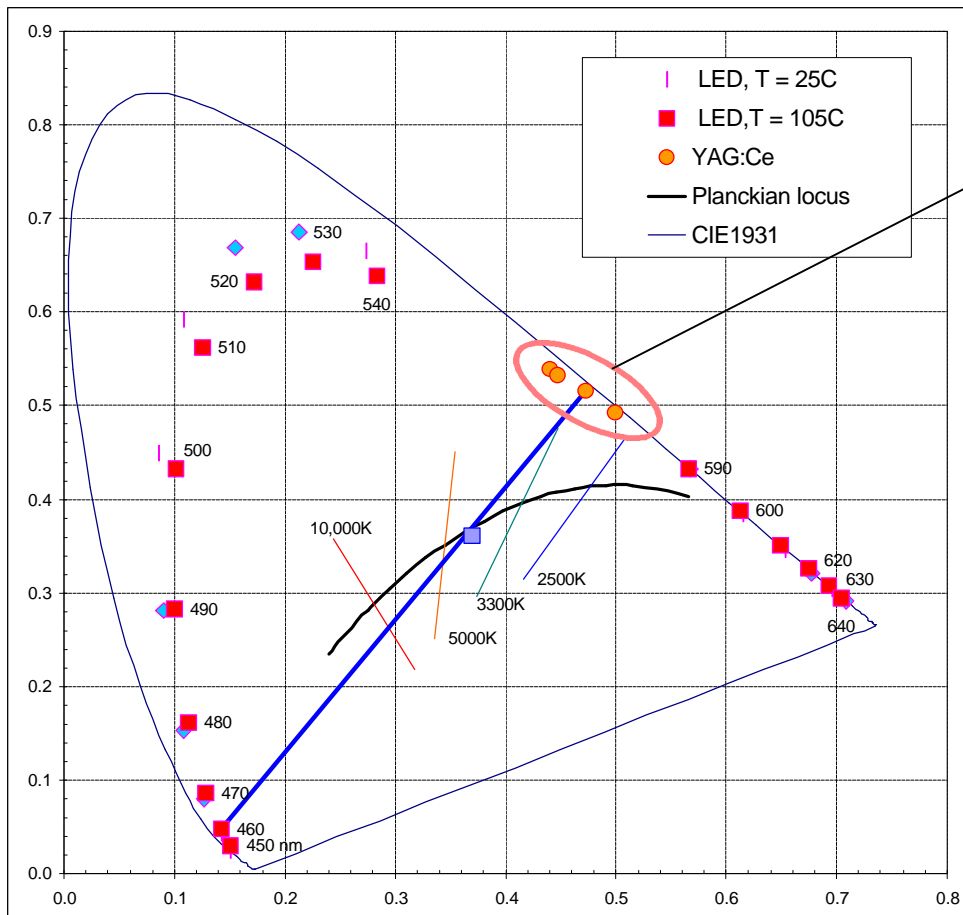
White from Blue LED + Phosphor(s)

- **Advantages:**
 - Simple and single Yellow phosphor versions available today!
 - Decent color rendering ($R_a = 75$ for Blue LED + Yellow Phosphor)
 - Temperature stability of phosphors. (Can be great!)
- **Disadvantages**
 - Limits on efficiency due to phosphor conversion efficiency, Stokes shift, self absorption,...
 - Better color rendering (i.e. multi phosphor comes at cost of efficiency)
- **Challenges**
 - Temperature stability of phosphors. (Great phosphors not available in all colors)
 - Color uniformity vs. angle
 - Multi phosphor versions to improve color rendering

White from Blue LED + Phosphor(s)

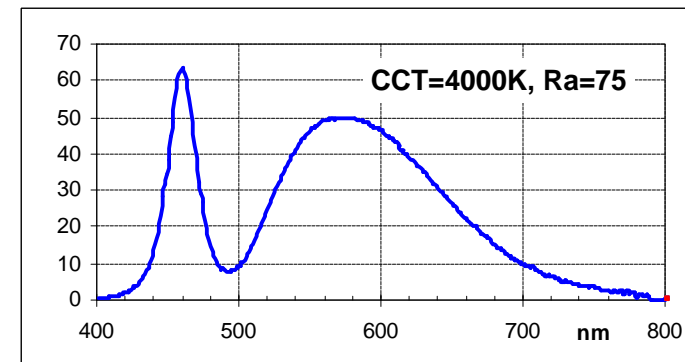
Today, PC LEDs are in the 20-30lm/W range!

- **Today's white LEDs are in the ~20-30lm/W range!**



Ce³⁺ doped garnet family,
e.g. (Y,Gd)₃Al₅O₁₂

Combined with the same LED, Ce³⁺ phosphors hit the Planckian at different color temperatures:

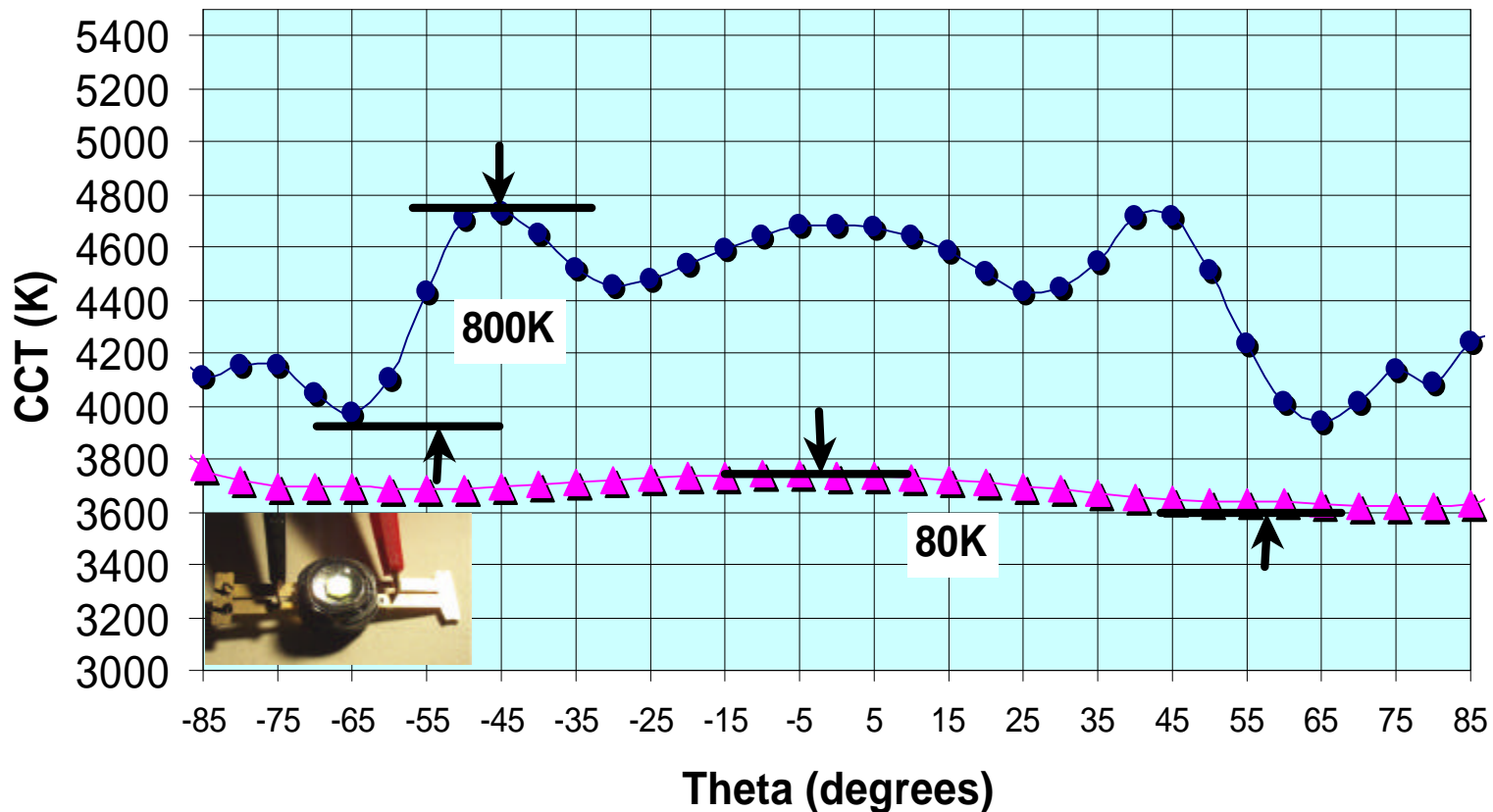


Ra = 75 is not great (good FL has 83) but it is OK for some applications.

White from Blue LED + Phosphor(s)

Color Uniformity can be good for PC White!

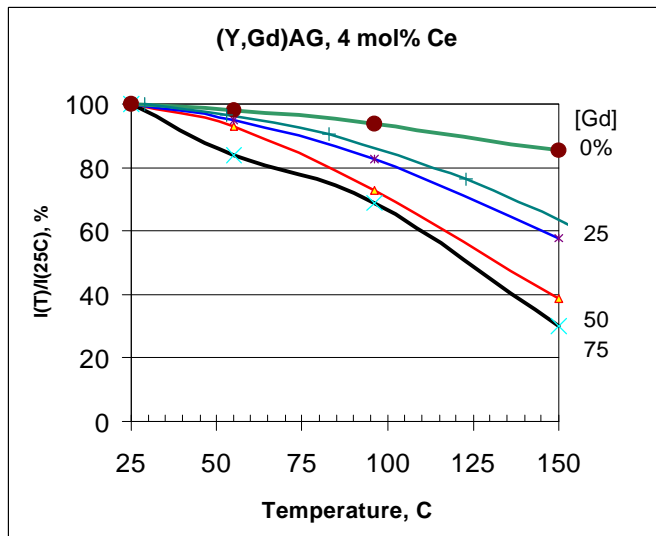
- CCT uniformity of 50-100K is sufficient for high quality illumination.



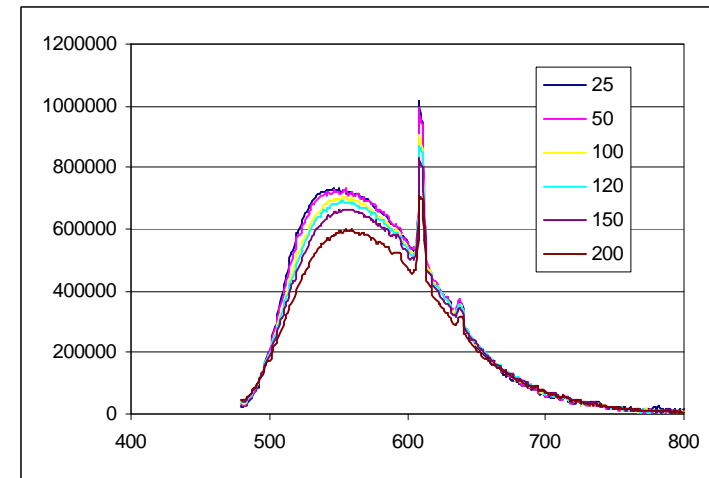
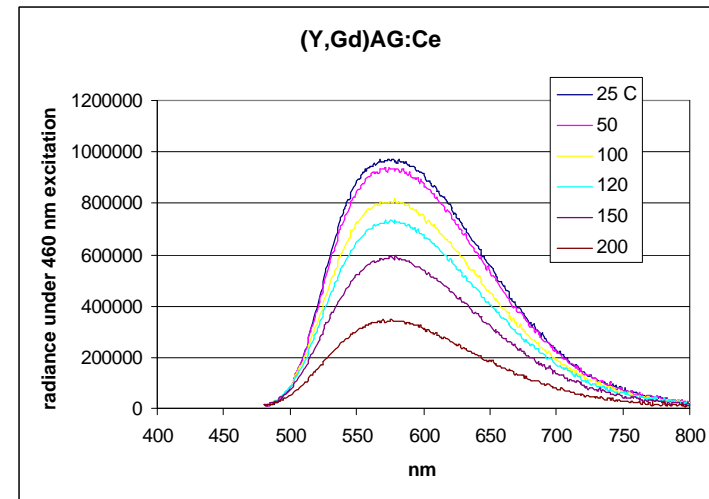
White from Blue LED + Phosphor(s)

Progress on Temperature stability of Phosphors

measured on powders

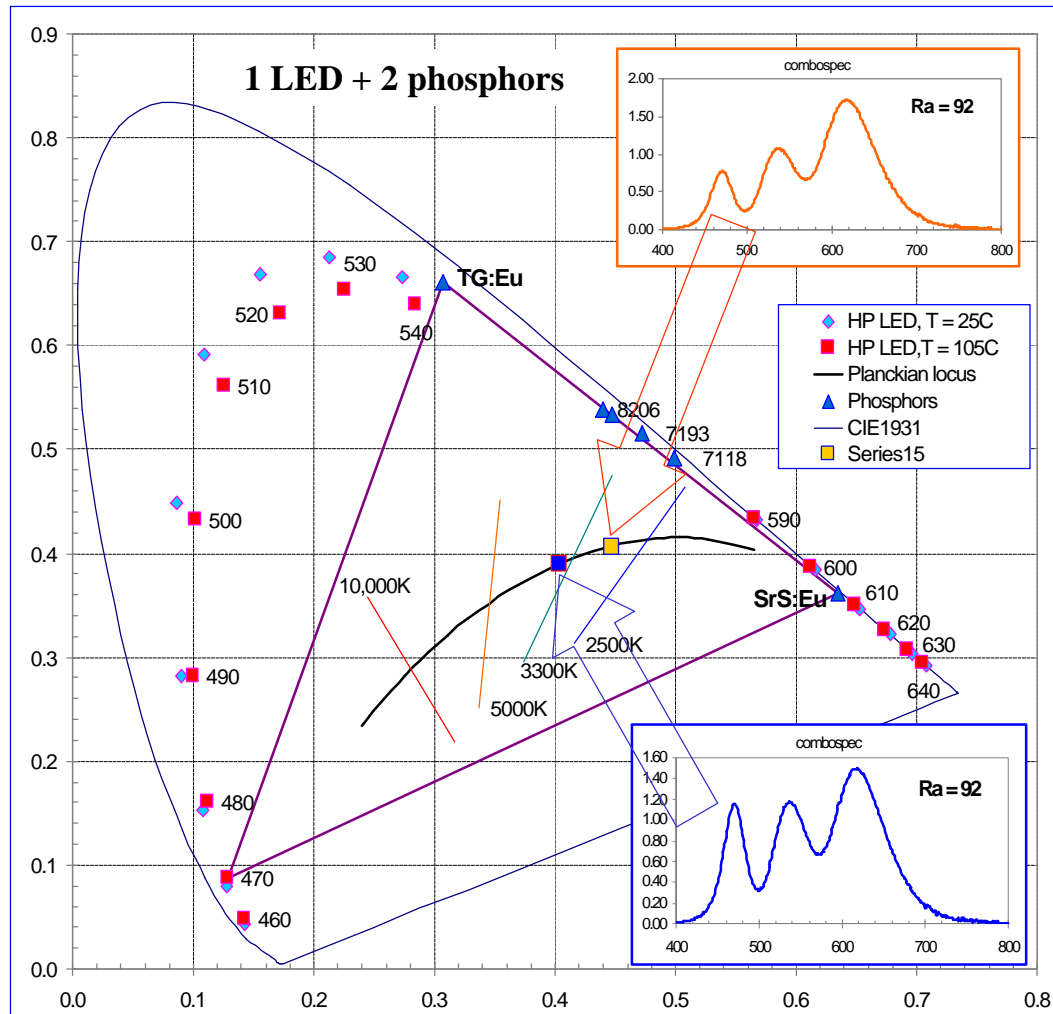


novel phosphors with improved color specs emerge; in this case using a Ce^{3+} - Pr^{3+} transfer of excitation energy and yielding more temperature stable behavior



White from Blue LED + Phosphor(s)

The 2-phosphor-converted LED – 2pcLED



Adding green and red to the blue of the LED opens a huge color gamut and allows for *de-luxe* white of any color temperature – our best choice

- $\text{SrGa}_2\text{S}_4:\text{Eu}^{2+}$ - green
- $\text{SrS}:\text{Eu}^{2+}$ - red

The dipole-allowed 5d-4f transitions of Ce^{3+} and Eu^{2+} are uniquely suited for color converters:
high absorption, small Stoke's shift

White Light from LEDs

All three white LED technologies share Three challenges!

1 Maximize efficiency in lm/W

90 lm/bulb

6 lm/W

0.005 \$/lm



2 Maximize flux density in lm/package

3 And of course reduce cost \$/lm

475 lm/bulb

10 lm/W

0.01 \$/lm



145 lm/bulb

50 lm/W

0.02 \$/lm

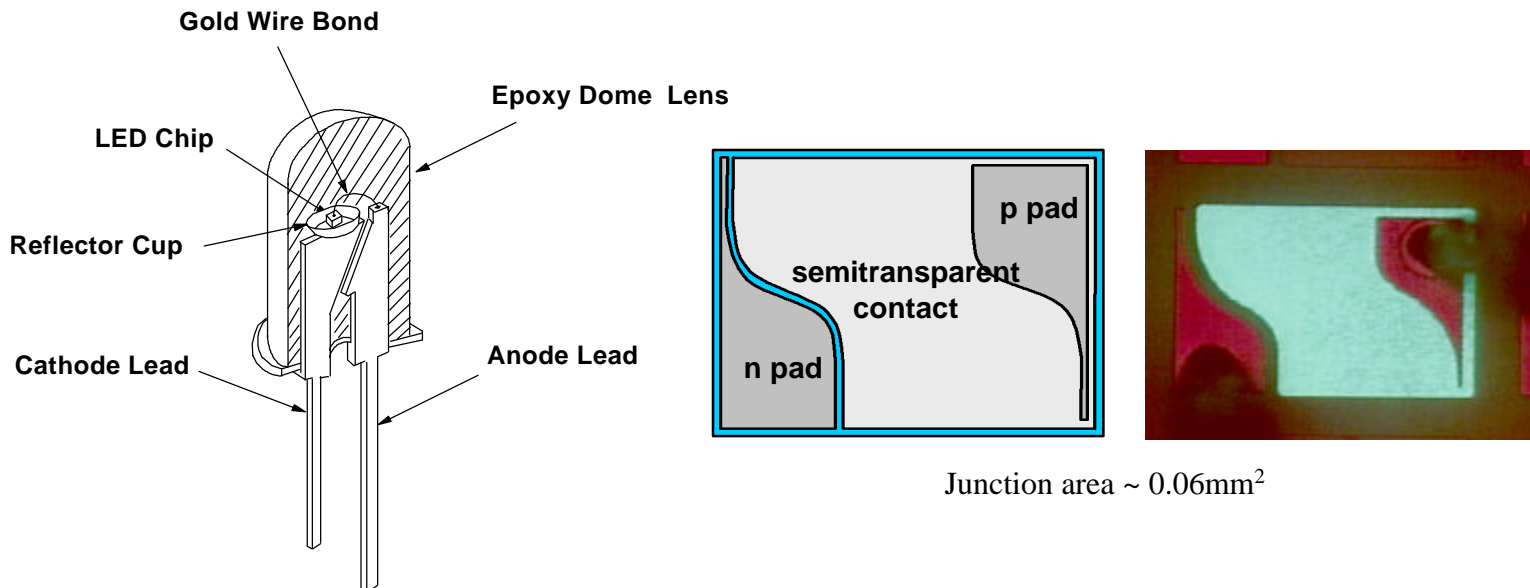


High Power White LEDs

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- **Competition in the market for Illumination, Incandescent & Fluorescent Bulbs.**
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- **Some interesting demos**

High Power White LEDs



Limitations of conventional 5mm Indicator LED lamps

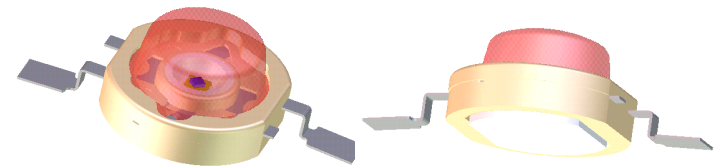
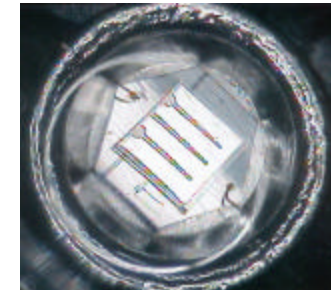
- Very high thermal resistance, $>200^{\circ}\text{C/W}$,
- Epoxy limited to $\ll 120^{\circ}\text{C}$ due to thermal yellowing,
- Light utilization efficiency is low.

High Power White LEDs

Luxeon™ approach

Die design

- Large area die for high power capability,
- Electrode design for low spreading resistance,
- Flip-chip configuration;
 - high extraction efficiency,
 - low thermal resistance,
 - ability to integrate electronics.



Package design

- Low thermal resistance package,
- Stable, soft gel inner encapsulant,
- Controlled radiation pattern and efficient optics.

System design

- Low thermal resistance board design,
- Efficient secondary optical elements.



High Power White LEDs

Lumileds Luxeon™ Power LED Efficiency

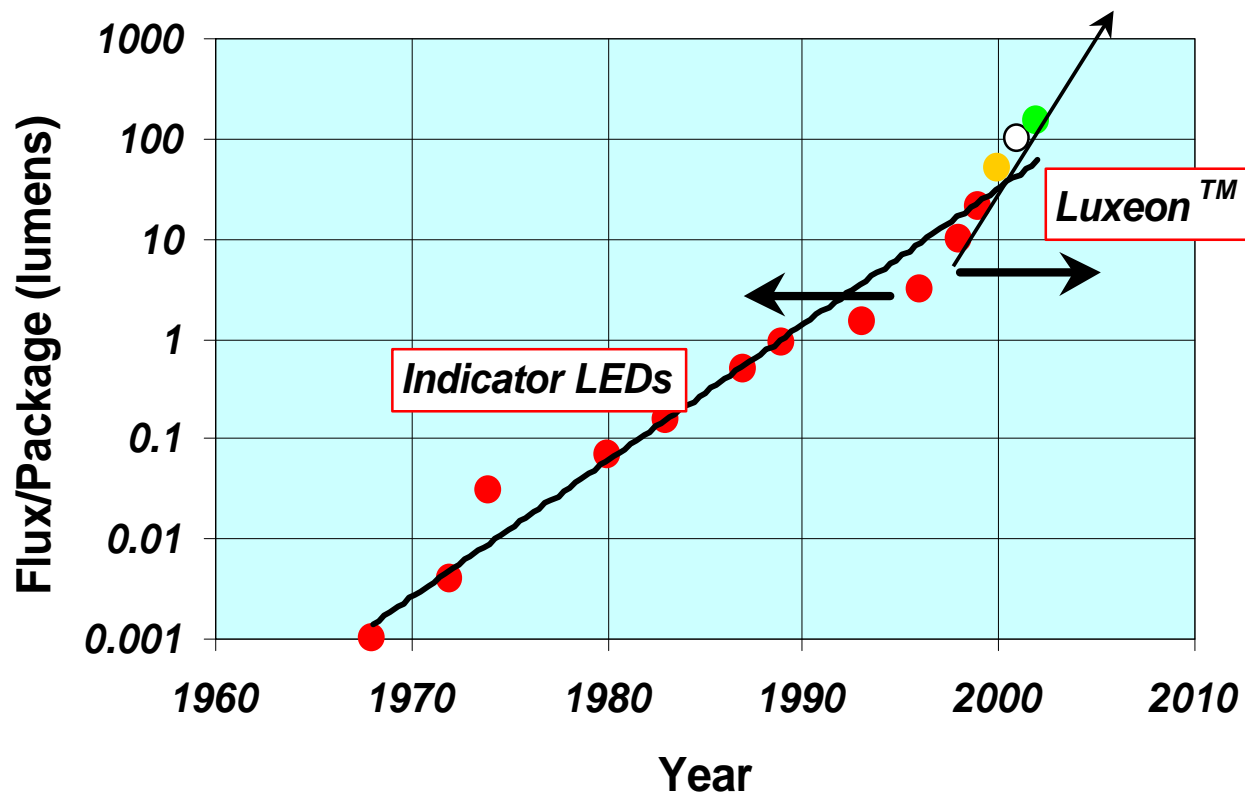
- **Leading the charge into Solid State Illumination**

| | <i>Production Typical</i> | | <i>R&D Demonstrations</i> | |
|-------------------|---------------------------|---------------|-------------------------------|---------------|
| <i>350mA If</i> | <i>lm/W</i> | <i>lm/LED</i> | <i>lm/W</i> | <i>lm/LED</i> |
| <i>Red</i> | 44 | 43 | 50 | 50 |
| <i>Red-Orange</i> | 55 | 54 | 65 | 65 |
| <i>Amber</i> | 36 | 36 | 44 | 44 |
| <i>Green</i> | 25 | 30 | 50 | 55 |
| <i>Blue</i> | 11 | 14 | 15 | 20 |
| <i>White</i> | 18 | 22 | 30 | 36 |

LED Technology

Haitz's Law for LED Flux

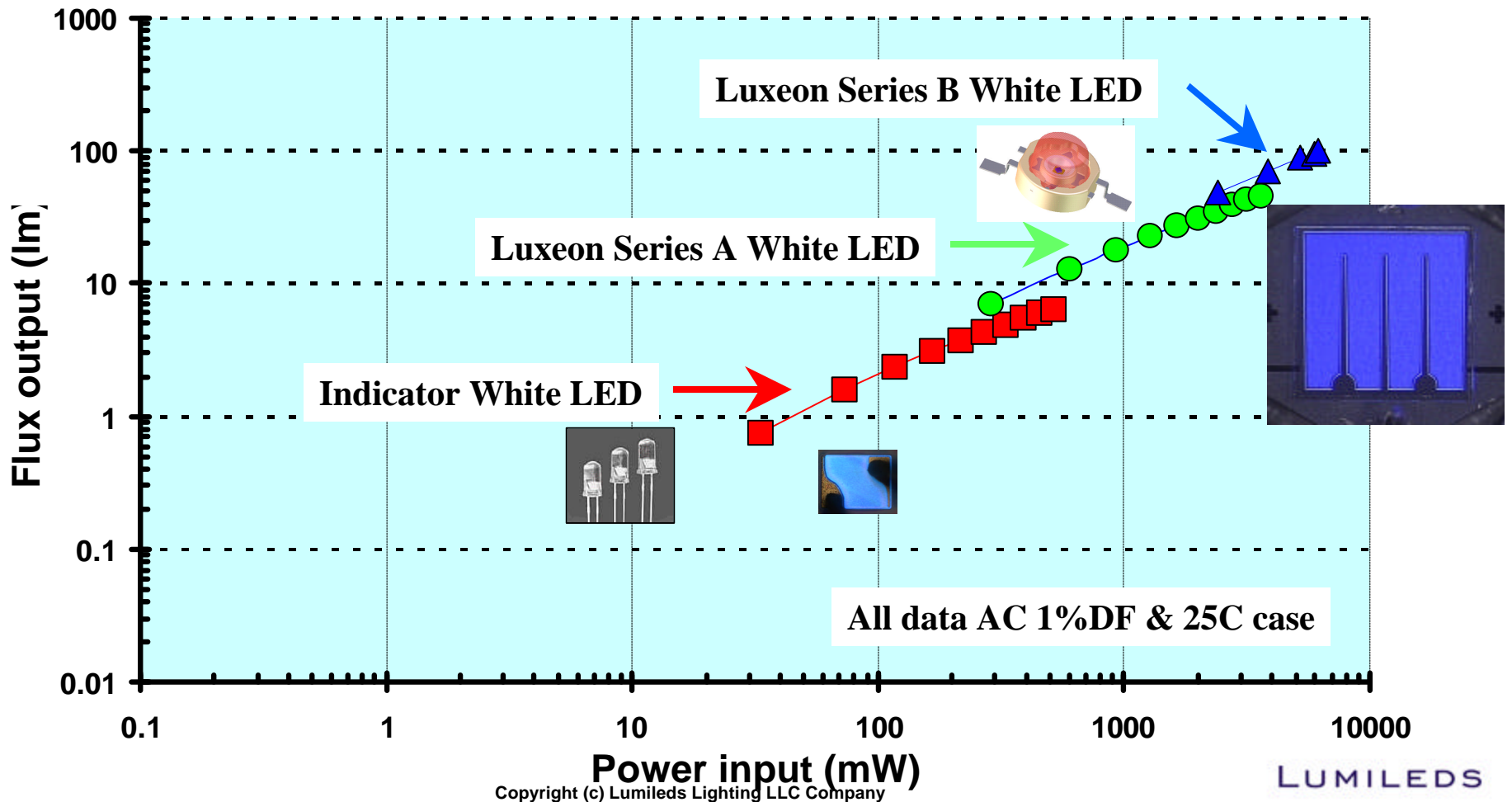
- LED Flux per package has doubled every 18-24 months for 30+ Years!!
- 1965 Moore's Law "# of Transistors/chip will double every 18-24 months!"



High Power White LEDs

Lumileds Luxeon™ Series A & B LEDs

- First ever White LED > 100lm!! (100.2lm and 15lm/W to boot!!)



High Power White LEDs

Lumileds 100 lumen Club!

- These are the only LEDs that approach “Illumination” flux!

| | | | |
|---------------------|------|-------|-------------|
| <i>Red</i> | 1000 | 105 | February-01 |
| <i>Amber</i> | 700 | 110 | December-99 |
| <i>Green</i> | 700 | 108 | March-01 |
| <i>White</i> | 1870 | 100.2 | July-01 |
| <i>White</i> | 1400 | >110 | 1-Sep |

High Power White LEDs

What about Radiometric Power?!

- State of the art 400nm indicator LEDs provide 15mW of power.
- How much power can a Luxeon part generate? :-)

| | <i>If (mA)</i> | <i>W/LED</i> | <i>Date</i> |
|------------------------|------------------------------------|--------------|-------------|
| <i>Deep Blue 430nm</i> | <i>Dan Steigerwald</i> | | |
| | <i>Talk 4445-19 08:30 8/1/2001</i> | | |

High Power White LEDs

Lumileds Luxeon™ Series B Cyan LED

- **Single Series B Cyan LED can replace an 80W bulb in an 8” traffic ball!**

130 lm/bulb
30 lm/W
0.20? \$/lm



High Power White LEDs

Lumileds Luxeon™ Ring

- **Fixture design by Philips Lighting and Lumileds.**
- **12 Luxeon's, ~240 lumens. Ring available *Now!***



High Power White LEDs

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High Power White LEDs

Evolution of LED Package Technology

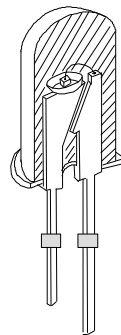
- Power LEDs can handle ~50x power of an Indicator LED!



1962

First Packaged LED

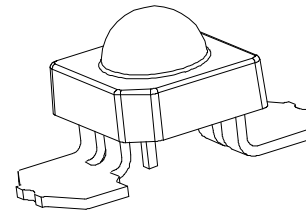
Indicator LED



$P_{\max} \sim 0.1\text{W}$
150-200 K/W
1970

Standard 5mm Lamp

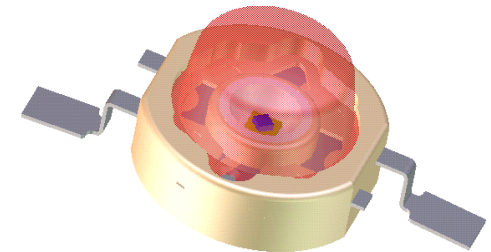
Indicator LED



$P_{\max} \sim 0.2-0.4\text{W}$
50 K/W
1994

LumiLeds SnapLED™

First Power LED



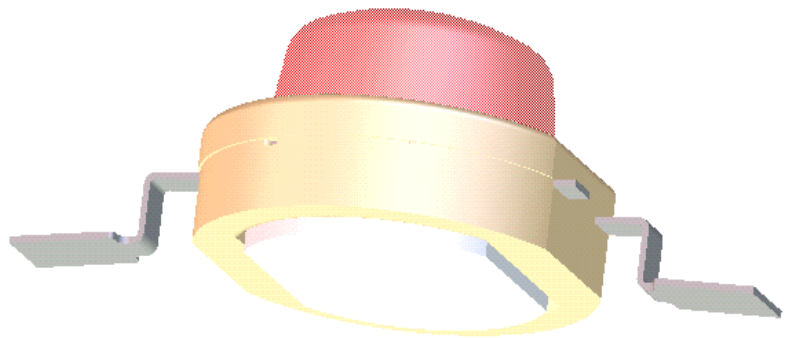
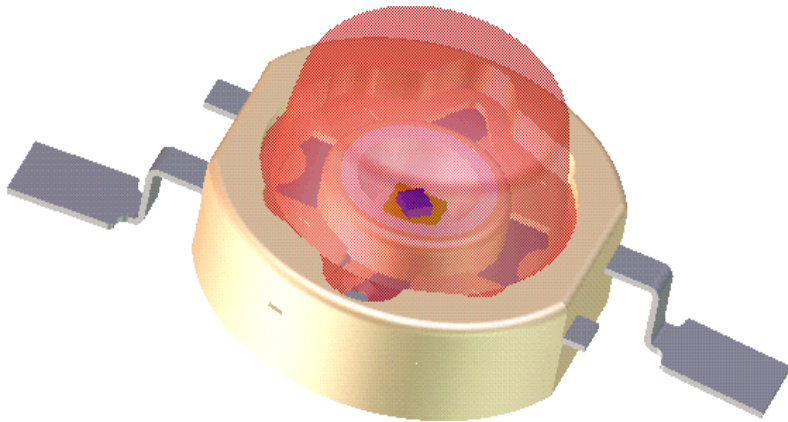
$P_{\max} \sim 0.6-4.0\text{W}$
9-14 K/W
1998
LumiLeds Luxeon™

Today's Power LED

High Power White LEDs

Lumileds Luxeon™ Power LED

- Packages designed to handle 1-5W!



High-Power Package:

Improved thermal properties

Heat sink body with good thermal interface

Soft gel inner encapsulant

*Including power AlInGaN or AlInGaP or
Phosphor Converted AlInGaN Chip:*

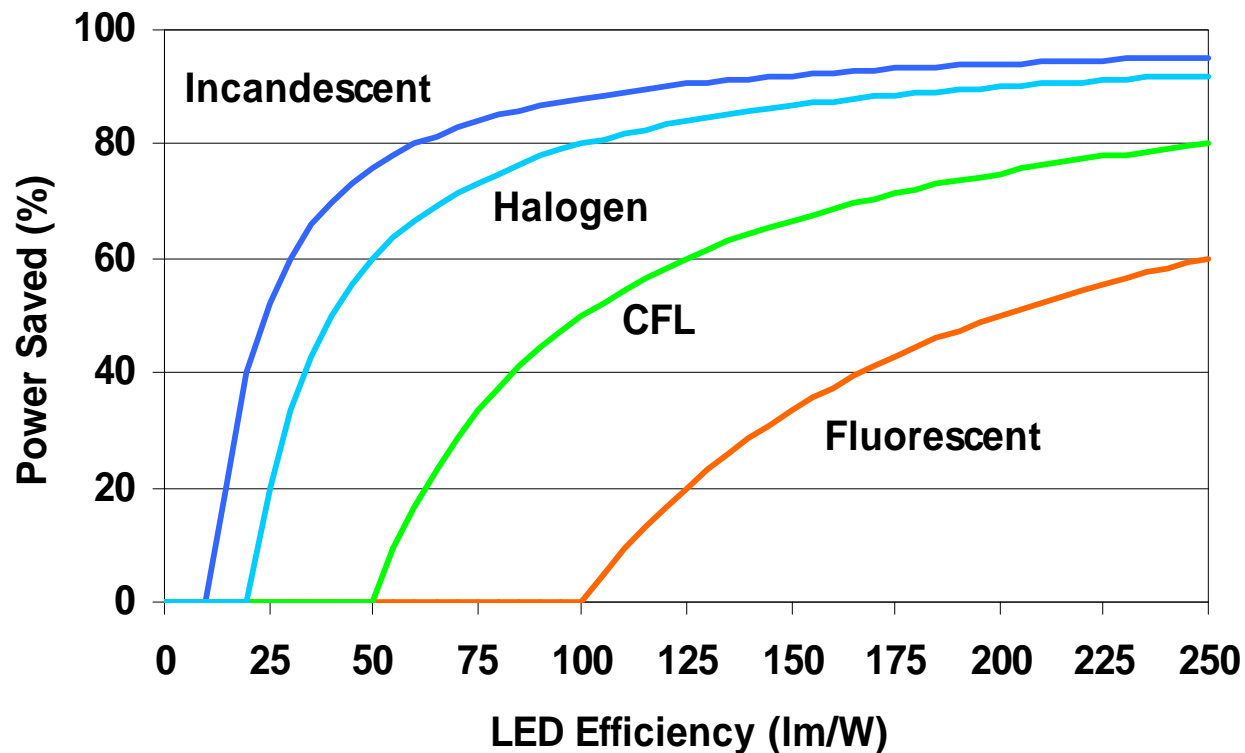
$\theta_{jc} \sim 12^{\circ}\text{C}/\text{W}$

High optical efficiency > 95%

High Power White LEDs

Potential Power Savings vs. Traditional Lighting

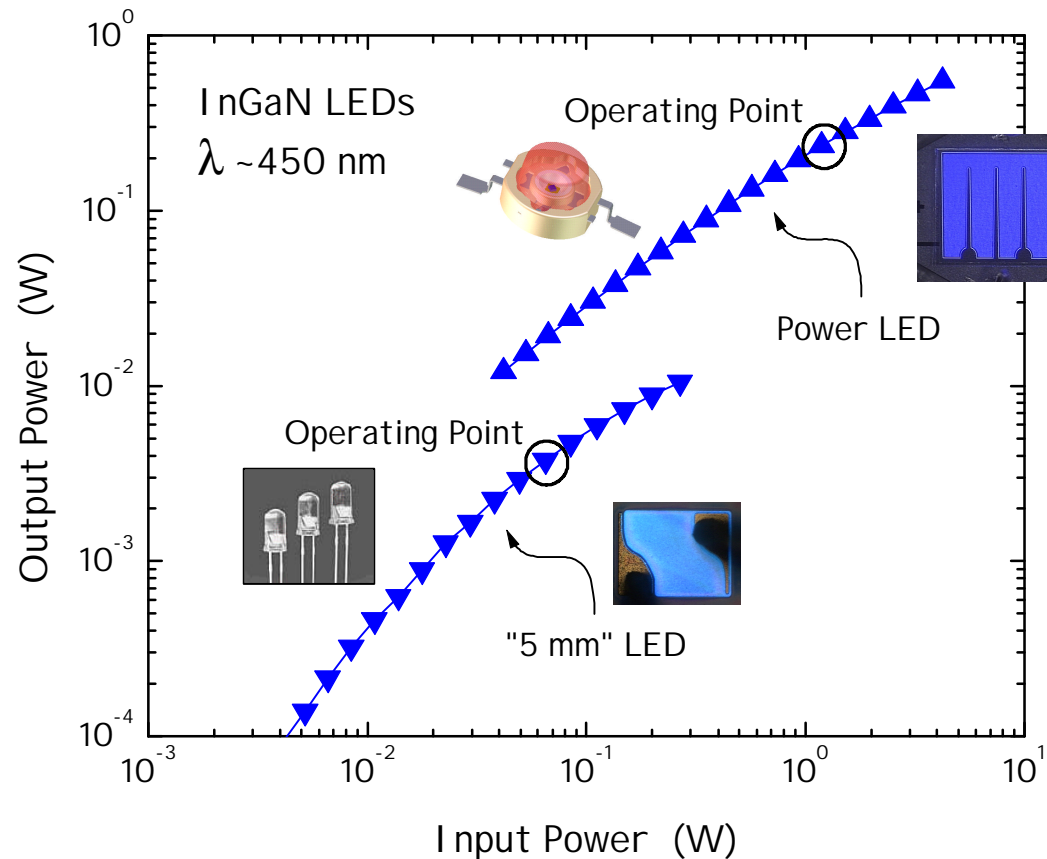
- Today's white LEDs are in the ~20-30lm/W range, but still low flux!



LED Technology

Indicator LEDs vs. Power LEDs

- **Indicator LEDs have output powers $< \sim 50\text{mW}$ and Thermal resistances $> 50\text{K/W}$**



High Power White LEDs

Indicator LEDs vs. Power Luxeon™ LEDs!

- Luxeon's are designed for low thermal resistance & high current density!

